

# Summary of Investor Rights

Your capacity as an investor in the Funds for which ABN AMRO Investment Solutions, hereinafter "AAIS", acts as a Management Company, derive rights which you will find a summary of below pursuant to the European Regulation on the cross-border distribution of collective investment undertakings, Regulation (EU) 2019/1156. This document is not intended to be an exhaustive list of the rights that investors may enjoy with respect to the Funds. For further information on the operation of the Funds, please refer to the legal documentation (prospectus, key information document, hereinafter referred to as "KID", articles of association/regulations, etc.) of the Fund(s) concerned. These rights may include:

## ‣ **Rights related to the operation of an Open-ended Investment Company**

Each shareholder of a Fund with the legal form of an Open-ended Investment Company has rights related to the operation of the Open-ended Investment Company. The Open-ended Investment Company or the sub-fund of the Open-ended Investment Company issues shares with a variable net asset value, determined according to the periodicity indicated in the KID. At any time, this value may be indicated to you by your distributor, the entity responsible for marketing the shares of the Open-ended Investment Company in the territory where you reside.

On the basis of this net asset value, you can redeem your shares at any time, subscribe to additional shares, or convert them in accordance with the provisions of the KID and the articles of association of the Open-ended Investment Company. The Open-ended Investment Company's Board of Directors may determine a minimum subscription fee, as well as a subscription or redemption fee; these information are included in the prospectus of the Open-ended Investment Company / sub-fund in which the shares are held. The

Management Company may block subscriptions and redemptions, in compliance with the applicable regulations, if operations require a temporary halt in transactions, such as a merger-absorption.

NB: if you hold your shares in the form of a *nominee*, this form of holding implies that your distributor acts in the name and on your behalf vis-à-vis the Open-ended Investment Company; you had to sign a *nominee* contract with him when you initially subscribed. The distributor is registered in the register of shareholders kept at the registered office of the Open-ended Investment Company and only its contact details appear in the register of shareholders.

## › Right to participate in a General Meeting

Each shareholder of a Fund having the legal form of an Open-ended Investment Company shall have the right to receive a notice of meeting, to attend in person or by proxy and to vote at general meetings and at any other meeting of shareholders of the relevant Open-ended Investment Company in which the shareholder has invested, unless the shareholder has elected to invest in shares that do not carry voting rights.

The Annual General Meeting of an Open-ended Investment Company will be held within six months of the end of the financial year at the Open-ended Investment Company's registered office or at any other place specified in the notice of meeting.

Each share confers one vote to its holder regardless of its value. All actions carry the same weight in the decisions taken at the General Meeting when they concern the Open-ended Investment Company as a whole. Where decisions relate to the specific rights of shareholders of a sub-fund or a class or sub-category, only those shareholders shall be entitled to vote.

NB: the "nominee" form of holding implies that the voting rights attached to the shares are exercised by the Distributor acting on your behalf and whose contact details appear in the register of shareholders. For any information on this subject, we recommend that you refer to the nominee contract that you signed when you first subscribed.

To the extent provided by law, the Board of Directors may suspend the voting rights of any shareholder who fails to comply with the obligations set out in the articles or in any document (including forms) specifying the shareholder's obligations to the fund and/or other shareholders. Or in the event of a transaction (e.g. a merger-absorption with another UCI). Each shareholder may waive (individually), temporarily or permanently, the voting rights attached to all or part of his shares.

## › Right to investor information

Before any subscription, each investor/potential investor must be provided with the legal documentation of the Fund(s) concerned, i.e. Prospectus and KID. In addition, each investor has the right to receive certain information about the Funds in which he or she has invested. This information is contained in the Funds' legal documentation and the Funds' half-year and annual reports, available on the [www.abnamroinvestmentsolutions.com](http://www.abnamroinvestmentsolutions.com).

Any changes to the Fund's legal documentation are subject to notification in accordance with the applicable regulations. In addition, the website provides detailed information on the Funds available to you, including the latest net asset value (NAV) per share/unit, performance, risks and descriptions of investment policies.

You can obtain a copy of the KID and the Prospectus from the Management Company at any time free of charge. Nevertheless, at any time, all of the above-mentioned documentation is available on [www.abnamroinvestmentsolutions.com](http://www.abnamroinvestmentsolutions.com).

## › Right to ask questions

For any questions relating to a Fund managed by AAIS, its strategy relating to the exercise of voting rights in the underlyings, the policy on shareholder engagement, conflicts of interest and order execution, you can find them on the website of the Management Company on [www.abnamroinvestmentsolutions.com](http://www.abnamroinvestmentsolutions.com).

In addition, if you have any questions, the Management Company invites you to contact your usual contact person.

## › Discontinuation of marketing

Some AAIS Funds are authorized for marketing in the following countries: Luxembourg, Belgium, France, the Netherlands, Switzerland, Germany, the United Kingdom, Denmark, Austria, Italy, Spain, Sweden. We would like to draw your attention to the fact that AAIS may decide, at any time, to modify the list of Funds authorised for marketing. If AAIS decides to cease marketing the Funds in your country of subscription, you will be informed by the Management Company, via your distributor. If necessary, AAIS may offer you solutions in this case, such as the redemption of your shares. However, if you choose to keep your shares, you will still be the recipient of the information mentioned in this document and your rights will not be affected in any way as long as you remain a shareholder. However, no direct or indirect solicitation of these units/shares could take place in the country concerned.

## › Right to receive income

As an investor, you are entitled to receive a proportionate share of the income of the Fund in which you have invested. Depending on the nature of the units/shares held, this income may either be accumulated in the net asset value of the units/shares or be distributed in the form of dividends, in accordance with the terms of the relevant Fund's legal documentation.

## › Right to redeem investment

As an investor, you have the right to request the Fund to redeem your own units/shares on a valuation day at net asset value, subject to the conditions of the redemption procedure set out in the Fund's legal documentation.

## › Right to complain

As an investor, in the event that you are not satisfied, you can file a complaint with the marketer of the Fund, or directly with the management company of the Fund concerned. AAIS has therefore set up a complaint procedure, available on the [www.abnamroinvestmentsolutions.com](http://www.abnamroinvestmentsolutions.com) website, allowing any Investor to have their claim processed within the regulatory deadlines. In addition, you can also make a complaint relating to the processing of your personal data to the Commission Nationale Informatique et Libertés (CNIL), 3 place de Fontenoy 75007 Paris or on [www.cnil.fr](http://www.cnil.fr).

## › Right to the protection of personal data

Subject to applicable regulations, investors may have rights in relation to their personal data, including a right to access and rectify their personal data and, in certain circumstances, a right to object to or restrict the processing of their personal data. The personal data processing policy applied by AAIS is available on the website [www.abnamroinvestmentsolutions.com](http://www.abnamroinvestmentsolutions.com).

### **ABN AMRO Investment Solutions - AAIS**

Limited company with Executive and Supervisory Board capital of 4,324,048 Euros registered with the RCS Paris under number 410 204 390,  
Head office: 119-121 boulevard Haussmann, 75008 Paris, France,  
Approved by the AMF, dated 20/09/1999,  
as a portfolio management company under registration number GP99-27